## Administrative Record Index<sup>1</sup>

EPA Decision Regarding Changes to the Phase 1 Engineering Performance Standards, the Phase 1 Quality of Life Performance Standards, the Statement of Work, and the Scope of Phase 2 Pursuant to Paragraph 15.b of Consent Decree in *United States v. General Electric Co.*, 1:05-cv-01270 (N.D.N.Y.)

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- 110. January 30, 2009 EPA (Garbarini) to GE (Haggard): Design Revision for Contingent Resuspension Controls
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- 137. May 14, 2009 Remedial Action Work Plan Property Access Plan Rev. 1
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- 140. May 14, 2009 GE Phase 1 Remedial Action Community Health & Safety Plan Rev. 3
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- 147. July 14, 2009 GE (Moreno) to EPA (Fischer) email re: Peer Review Areas of Expertise

- 148. July 15, 2009 GE (Haggard) to EPA (Garbarini): Resuspension Performance Standard - Exceedance of 7-day Running Average Control Level Criteria – Engrg. Eval. Report attached
- 149. July 17, 2009 GE (Haggard) to EPA (Mugdan): Resuspension Performance Standard Exceedance of Annual Net PCB Load Limit
- 150. July 20, 2009 Email: EPA (Mugdan) to GE (Haggard): Hudson PCB Load Exceedance
- 151. July 21, 2009 GE (Haggard) to EPA (King): Potential Force Majeure Delay in Returning Empty Rail Cars from Disposal Facility to Processing Facility
- 152. July 24, 2009 EPA (Garbarini) to GE (Haggard) correspondence re: Phase 2 Habitat Assessment Report Conditional Approval
- 153. July 24, 2009 GE (Moreno) to EPA (Fischer) email re: Peer review Schedule
- 154. July 29, 2009 GE (Kruppenbacher) to EPA (King): Air Exceedances and EPA Instructions Regarding inventory Dredging and Barge Handling
- 155. August 6, 2009 GE (Haggard) to EPA (King): Resuspension Performance Standard Exceedance of Annual Net Load Limit for Tri+ PCBs at Lock 5 Station
- 156. August 11, 2009 GE (Kruppenbacher) to EPA (King): Resuspension Performance Standard Proposed Dredging Start Up and Testing Program
- 157. August 13, 2009 GE (Kruppenbacher) to EPA (King): EPA Directives on Changes to Dredging Operations
- 158. August 15, 2009 GE (Gibson) to EPA (King, et al.) email re: CAM03 on Phase 1 RAM QAPP, including attachments
- 159. September 2009 Remedial Action Health & Safety Plan- Rev 2 (Section 4)
- 160. September 4, 2009 EPA (Garbarini) to GE (Kruppenbacher): Approval for an Alternative Decontamination Procedure
- 161. September 18, 2009 EPA (Fischer) to GE (Moreno) email re: Hudson Peer Review panel list
- 162. September 22, 2009 EPA (Fischer) to GE (Moreno): email Peer Review SOW
- 163. September 25, 2009 GE (Gibson) to EPA (Garbarini) Phase 1 Data Request Transmittal dredging data sent on CD ROM

- 164. EPA, *Public Health Levels for PCBs in Indoor School Air*. Last updated on Friday, September 25, 2009
- 165. October 3, 2009 GE (Gibson) to EPA (Garbarini) Phase 1 Data Request Transmittal dredge pack files and drivers on CD ROM follow-up to 9/25 submittal
- 166. October 21, 2009 GE (Gibson) to EPA (Garbarini) Phase 1 Data Request Transmittal several attachments sent Bathymetric files; silk curtain info etc.
- 167. October 27, 2009 GE (Blaha) to EPA (Garbarini) Schedule for the Phase 2 Final Design Report
- 168. November 2, 2009 GE (Kruppenbacher) to EPA (King): Potential Force Majeure Delay in Shipments to Disposal Facility Due to Sloughing Problem in Disposal at the Facility
- 169. November 4, 2009 EPA (Fischer) to GE (Moreno) email re: Peer Review Schedule, including attachment
- 170. November 9, 2009 EPA (King) to GE (Gibson) Comments on Terrestrial Archaeological Resources Work Plan for Phase 2 Dredge Areas
- 171. November 9, 2009 GE (Gibson) to EPA (Garbarini) Phase 1 Data Request Transmittal CD ROM with several zip files
- 172. November 12, 2009 GE (Haggard) to EPA (Garbarini): Phase 1 Data Compilation Report letter and attached report
- 173. November 30, 2009 GE (Blaha) to EPA (Garbarini) Phase 2 Final Design Design for Backfill and Habitat Construction River Section 1; draft plans and specs and description of design process
- 174. December 23, 2009 EPA (Garbarini) to GE (Blaha) Schedule for Phase 2 Design Report
- 175. December 23, 2009 EPA (Conetta) to GE (Blaha) email re: Comments on Phase 2 Data Gap Summary Report, including attachments
- 176. December 23, 2009 EPA Approval of the Remedial Action Report for the Granulated Activated Carbon Treatment for the Village of Stillwater
- 177. January 15, 2010 GE Draft Phase 1 Evaluation Report and Supplemental Phase 1 Data Compilation
- 178. January 15, 2010 EPA Draft Phase 1 Evaluation Report
- 179. January 21, 2010 GE (Haggard) to EPA (Garbarini): email re: GE P1 Eval Report Update Executive Summary revised page

- 180. January 26, 2010 GE (Haggard) to EPA (Garbarini) email: Revised Page ES-1 of GE's Draft Phase 1 Evaluation Report
- 181. January 27, 2010 GE (Blaha) to EPA (Garbarini) correspondence re: Response to EPA Comments on Phase 2 Data Gap Data Summary Report, including attachment
- 182. January 29, 2010 GE (Haggard) to EPA (Garbarini) correspondence GE's Comments on EPA's Draft Phase 1 Evaluation Report comments attached
- 183. January 29, 2010 EPA (Fischer) to GE (Moreno) email re: Peer Review charge
- 184. January 29, 2010 GE (Moreno) to EPA (Fischer) email re: Peer Review charge
- 185. January 29, 2010 EPA (Garbarini) to GE (Haggard) correspondence re: EPA Comments on GE Draft Phase 1 Evaluation Report, comments attached
- 186. February 2010 NYSDEC Report on Observations from Phase 1 Dredging Oversight
- 187. February 9, 2010 Email: EPA (Fischer) to GE (Moreno): Peer Review Schedule
- 188. Bridges TS, Gustavson KE, Schroeder PR, Ells SJ, Hayes DF, Nadeau SC, Palermo MR, Patmont C. 2010. *Dredging Processes and Remedy Effectiveness: Relationship to the 4 Rs of Environmental Dredging.*Integrated Environmental Assessment and Management. 6: 619-630 (February 2010)
- 189. February 11, 2010 EPA (Fischer) to GE (Moreno) email re: Peer Review charge questions
- 190. February 12, 2010 GE (Haggard) to EPA (Garbarini): Schedule for Phase 2 Final Design Report
- 191. February 12, 2010 EPA (Moyik) to Garon (SRA) re: charge questions
- 192. February 15, 2010 AQ-EFDC INPUT MANUAL Hydro Sedtran version
- 193. SRA International, Inc. February 17-18, 2010 Peer Review Introductory Session Presentation Slides
- 194. February 17-18 Peer Review meeting transcripts
- 195. February 17 and 18, 2010 GE Peer Review presentations

- 196. February 17 and 18, 2010 EPA Peer Review presentations
- 197. March 4, 2010 GE (Haggard) to EPA (Garbarini): Phase 1 Cap/Habitat OM&M Plan
- 198. March 2010 EPA Final Phase 1 Evaluation Report
- 199. March 8, 2010 GE (Haggard) to EPA (Garbarini): GE's Final Phase 1 Evaluation Report report attached
- 200. March 8, 2010 EPA ListServ Notice "EPA Submits Final Report on First Phase of Hudson River Dredging For Independent Scientific Review"
- 201. March 8, 2010 GE/EPA Mugdan Meeting Presentation
- 202. March 10, 2010 GE (Haggard) to EPA (Garbarini): GE's Final Phase 1 Evaluation Report revised cover ltr.
- 203. March 10, 2010 email: EPA (Fischer) to GE (Moreno): Panel Supplemental Requests and Hudson River Background Documents documents attached
- 204. March 12, 2010 GE/EPA Meeting Presentation
- 205. March 19, 2010 EPA (Conetta) to GE (Gibson): Remedial Action
   Monitoring Quality Assurance Project Plan Correction Factor
   Development email with attachments
- 206. March 19, 2010 GE (Kruppenbacher) to EPA (King): Empty Gondola Inspection, Sampling and Release Procedure attachment
- 207. March 22, 2010 GE (Blaha) to EPA (King): Record Drawings for the permanent facilities constructed during Phase 1
- 208. March 23, 2010 EPA (King) to GE (Haggard) email Phase 2 Design Topics for Discussion
- 209. March 23, 2010 USEPA Oversight Team Phase 1 Observations Report
- 210. March 23, 2010 email: EPA (Fischer) to GE (Moreno): Forward to GE (re: peer review panel request for extension of time to submit report).
- 211. March 23, 2010 GE (Moreno) to EPA (Fischer) email Peer Review's request for extension of time for the draft report is acceptable to GE.
- 212. March 24, 2010 GE (Haggard) to EPA (Mugdan): Hudson River dredging project status
- 213. March 26, 2010 GE (Haggard) to SRA International, Inc. Initial Response to Phase 1 Peer Review Panel Supplemental Information requests from Introductory Session, including enclosed CDs

- 214. March 29, 2010 EPA (Moyik) to SRA International, Inc. correspondence re: items requested by Peer Review Panel
- 215. March 31, 2010 GE (Blaha) to EPA (Garbarini) Phase 2 Final Design Interim Submittal Design approach for dredging West Griffin Island area
- 216. April 2009 Lower Fox River Remedial Design Final Design Report, Adaptive Management and Value Engineering Plan (Appendix E to
- 217. April 8, 2010 GE (Haggard) to SRA (Garon) correspondence and enclosures: Additional Response to Phase 1 Peer Review Panel Supplemental Information Requests and Questions Received After the Introductory Session
- 218. April 8, 2010 GE/EPA Meeting Presentation, "Update, Hudson River Dredging Project"
- 219. April 13, 2010 EPA (Greenberg) to GE (Haggard) forwarding draft Peer Review agenda.
- 220. April 14, 2010 SRA (Garon) to EPA (Moyik) email (including attachments) transmitting Peer Review Panel request for supplemental data, Peer Review Panel response to EPA March 29 letter, and preliminary list of topics for peer review presentations.
- 221. April 16, 2010 GE (Blaha) to EPA (Conetta) Griffin Island West Channel Hydraulic Investigation Work Plan
- 222. April 19, 2010 EPA (Fischer) to GE (Moreno): Supplemental Data Request email with attachments
- 223. April 20, 2010 EPA ListServ Notice "Statement on Extension of Public Comment Period Regarding Phase 1 Evaluation Reports for Hudson River Dredging Project"
- 224. April 21, 2010 GE (Haggard) to SRA International, Inc., correspondence with enclosed CD: GE's response to Peer Review Panel's supplemental information requests forwarded April 19, 2010
- 225. April 23, 2010 EPA (King) to GE (Kruppenbacher), correspondence re: Phase 1 Contract 5 Plans and Specifications, and Pre-Planting Inspection Conditional Approval
- 226. April 26, 2010 GE (Haggard) to EPA (Garbarini): GE Comments on EPA Final Phase 1 Evaluation report letter and comments
- 227. April 26, 2010 GE presentation "Update: Hudson River Dredging Project"
- 228. April 27, 2010 EPA (King) to GE (Haggard) re: Phase 1 Evaluation Report Comments –comments collected by EPA enclosed

- 229. April 27, 2010 EPA (Fischer) to GE (Moreno) email forwarding 4/27/10 email from SRA to EPA re: "a few items for your attention/feedback"
- 230. April 28, 2010 Email: GE (Gibson) to SRA (Garon) re: Peer Review Panel Information Request GIS shapefiles enclosure
- 231. April 28, 2010 EPA (King) to GE (Haggard): Revised Phase 1 Evaluation Report Comments comments enclosed
- 232. April 28, 2010 EPA (Danielle Adams, Ecology & Environment, Inc.) to SRA International, Inc., correspondence (with enclosures) transmitting public comments submitted on EPA and GE Phase 1 Evaluation Reports. Enclosed comments submitted by: Tom Brosnan, Federal and State Natural Resource Trustees (April 26, 2010); Rick Deegan, NYS Tour Boat Association (April 19, 2010); Kevin Farrar, NYS Department of Environmental Conservation (April 26, 2010); Sarah Frasier (April 26, 2010); Alyce Fritz, National Oceanic and Atmospheric Administration (NOAA) (April 26, 2010); Thomas Grasso, Canal Society of New York State (Transmittal letter dated April 16, 2010); John Haggard, GE (April 26, 2010); Tim Havens, Citizen Environmentalists Against Sludge Encapsulation (CEASE) (April 25, 2010); Thomas Kryzak, Air & Earth Works (April 5, 2010); Hudson River Sloop Clearwater, et al. (April 26, 2010); Carmella Mantello, New York State Canal Corporation (April 21, 2010); Robert Michaels & Uriel Oko, RAM TRAK Corporation (April 19, 2010); Brent Miller, Graduate Student, Bard Center for Environmental Policy (April 22, 2010); Willard Peck, Saratoga County Board of Supervisors (April 26, 2010); John Rohrback (undated); Cecilia Romero Tkach, Graduate Student, Northern Arizona University (April 13, 2010); Beth Sciumeca, Erie Canalway National Heritage Corridor, U.S. Dept of the Interior, National Park Service (April 20, 2010); Ann Shaughnessy, Rensselaer County Environmental Management Council (April 21, 2010); Charles E. Sullivan, Jr., U.S. Dept. of the Interior, National Park Service (March 4, 2010)
- 233. April 29, 2010 GE (Haggard) to SRA: Responses of GE to outstanding questions and requests for information attachments included
- 234. April 29, 2010 SRA (Garon) to EPA (Moyik) email message re: Summary of Proposed Modification to Performance Standards, including attachments.
- 235. April 29, 2010 EPA (Fischer) to GE (Moreno) forwarding Garon (SRA) 4/29/10 email message re: Summary of Proposed Modification to Performance Standards
- 236. April 30, 2010 GE (Gibson) to SRA email: Peer Review Panel Information Request High Flow Memo attachments included
- 237. April 2010 EPA Phase 1 Evaluation Report Addendum

- 238. May 1, 2010 EPA email and correspondence to SRA transmitting EPA Phase 1 Evaluation Report Addendum
- 239. May 3, 2010 EPA (Fischer) to SRA (Garon) email re: Performance Std Changes Table, including attachment
- 240. May 3, 2010 EPA (Fischer) to GE (Moreno and Haggard) email forwarding SRA 5/3/10 email re: Performance Std Changes Table
- 241. May 3, 2010 EPA ListServ Notice "EPA Releases Phase 1 Evaluation Report Addendum for Public Comment"
- 242. May 4, 2010 SRA International, Inc. Peer Review Meeting Introduction/Overview Slides
- 243. Transcripts from May 4, 5, and 6 Peer Review meetings
- 244. May 4, 2010 EPA (Fischer) to SRA (Garon) email re: Panel Summary of Modified Performance standards, including attachments.
- 245. May 4, 2010 EPA ListServ Notice "EPA Extends Comment Period on Phase 1 Evaluation Report Addendum"
- 246. EPA Peer Review presentations from May 4-6, 2010 Peer Review meeting
- 247. GE Peer Review presentations from May 4-6, 2010 Peer Review meeting
- 248. May 5, 2010 GE (Moreno) to SRA (Garon) email re: Panel Summary of Modified Performance Standards. This email forwards to SRA tables that summarize GE's modified performance standards.
- 249. May 5, 2010 AQ (Benaman) to EPA (Fischer) email: Presentations from May 5 and Informational Response to Panel Electronic Version, including attachments
- 250. May 5, 2010 EPA (King) to GE (Kruppenbacher) correspondence re: Phase 1 Contract 5 Plans Planting, Natural Recolonization, and Unconsolidated River Bottom Habitat Areas
- 251. May 5, 2010 GE (Moreno) to Garon (SRA) email: Panel Summary of Modified Performance Standards attached red-lined docs
- 252. May 6, 2010 AQ (Benaman) to SRA (Garon) email GE proposal for changes to the Resuspension Standard, Productivity Standard and the Residual Standard attachments to email
- 253. May 12, 2010 SRA (Garon) to EPA (Moyik) email re: panel request for a table of the estimated Tri+PCB mass for all of the Phase 2 CUs, etc.
- 254. May 17, 2010 GE (Ayers) to EPA (Greenberg et. al) email Phase 1 Riverine Fringing Wetland Survey Results attached

- 255. May 17, 2010 GE (Gibson) to EPA (Garbarini, et al.) email re: 2009 Data Summary Report, including attachments
- 256. May 17, 2010 GE (Gibson) to SRA (Garon) GE Comments on EPA Addendum comments attached
- 257. May 17, 2010 (GE) Haggard to SRA (Garon) and EPA (Garbarini): GE's comments on EPA's Addendum to March 2010 Phase 1 Evaluation Report, including attached comments
- 258. May 18, 2010 EPA (Danielle Adams, Ecology & Environment, Inc.) to SRA International, Inc., correspondence with enclosures transmitting public comments submitted on EPA Addendum (except as otherwise noted). Enclosed comments submitted by: Jerry Clark, Fort Edward (May 16, 2010); Kevin Farrar, NYSDEC (May 17, 2010); Jay Field, NOAA (May 17, 2010); Rob Goldman, NYS Marine Highway Transportation Co., LLC (April 20, 2010) (Comments submitted on GE and EPA Phase 1 Evaluation Reports); John Haggard, GE (May 17, 2010); Timothy Havens, CEASE (May 17, 2010); Gil Hawkins, Hudson River Fisherman's Association (undated); George Hodgson, Saratoga County Environmental Management Council (EMC) (May 17, 2010); Hudson River Sloop Clearwater, et al. (May 17, 2010); George Putnam (May 11, 2010); Charles Sullivan, U.S. Department of the Interior, National Park Service (May 14, 2010)
- 259. May 18, 2010 Correspondence from EPA (David King) to GE (John Haggard) transmitting comments collected by EPA on EPA's Phase 1 Evaluation Report Addendum
- 260. May 18, 2010 EPA (Fischer) to SRA (Garon) email re: Resuspension Standard Table, including attachments
- 261. May 19, 2010 GE (Moreno) to Garon (SRA) email: Phase 2 Mass by CU, including attachment
- 262. May 20, 2010 EPA (King) to GE (Kruppenbacher) correspondence: P1 Contract 5 2010 Riverine Fringing Wetland Pre-Planting Survey, Conditional Approval
- 263. May 24, 2010 GE (Kruppenbacher) to EPA (King): Transmittal of Addendum #1 to RAWP #3 (Revision 1) attachment
- 264. May 26, 2010 EPA (Fischer) to GE (Moreno) Request from Peer Review Panel/transcripts email with attachments
- 265. May 26, 2010 EPA (King) to GE (Kruppenbacher): Approval of Addendum #1 to RAWP #3 (Rev. 1)
- 266. May 26, 2010 GE (Moreno) to Garon (SRA) Request from Peer Review Panel/transcripts email with attachment

- 267. May 27, 2010 EPA (King) to GE (Kruppenbacher) correspondence re: Phase 1 Contract 5 – Submerged Aquatic Vegetation Planting Units letter
- 268. May 27, 2010 GE (Kruppenbacher) to EPA (King) correspondence re: Riverine Fringing Wetland Planting Areas, Conditional Approval, including attachments
- 269. June 2, 2010 GE (Haggard) to Garon (SRA) correspondence re: GE's responses to the June 1, 2010 request for information from the Peer Review Panel, including enclosure
- 270. June 3, 2010 AQ (Benaman) to SRA (Garon) email: Quick Clarification Needed GE's estimate of the total PCBs to be removed for the duration of the project and May 2010 presentation Slide 19 question on PCB removal number.
- 271. June 3, 2010 EPA (Fischer) to GE (Moreno) email re: Follow-up request for GE, including attachment
- 272. June 4, 2010 GE (Kruppenbacher) to EPA (King) correspondence re: Revised Wild Celery Planting Unit letter
- 273. June 7, 2010 GE (Haggard) to Garon (SRA) correspondence re: Response to June 3, 2010 Peer Review panel request for Additional Data, including enclosures
- 274. June 8, 2010 AQ to Garbarini re: Upper Hudson River Model, including attachment and hard drive containing computer code. Information related to computer code on attachment and hard drive is subject to claim of Confidential Business Information (CBI) and is included in Confidential File of administrative record.
- 275. June 8, 2010 GE (Kruppenbacher) to EPA (King) correspondence re: Revised wild celery (Vallisneria americana) Planting Unit
- 276. June 9, 2010 GE presentation "Hudson River: PCB Fate and Transport Modeling"
- 277. June 9, 2010 GE presentation "Refining Depth of Contamination in Phase 2 Dredge Areas"
- 278. June 9, 2010 EPA (Fischer) to SRA (Garon) email re: Panel requests, including attachments
- 279. June 14, 2010 GE (Klee) to EPA (Enck): Pre-Meeting Letter
- 280. June 14, 2010 Email: Haggard to EPA: Meeting Materials
- 281. June 15, 2010 EPA (King) to GE (Gibson) Phase 2 Dredge Areas Terrestrial Archaeological Work Plan Approval letter

- 282. June 15, 2010 EPA (King) to GE (Kruppenbacher) re: Response to GE Revised Wild Celery Planting Unit letter 6/8/10 [6/4] and Riverine Fringing Wetland Planting letter 5/27/10
- 283. June 21, 2010 GE presentation "Hudson River Dredging Project"
- 284. June 22, 2010 EPA (Conetta) to GE (Blaha) Phase 2 Data Gap Summary Report Conditional Approval Letter
- 285. June 24, 2010 NY State Canal Corp comments on GE Phase 2 Final Design, Interim Design Submittal dated March 31, 2010
- 286. June 25, 2010 GE (Haggard) to Garon (SRA) correspondence re: Response to June 23, 2010 Peer Review Panel Request for Disposal Information
- 287. June 25, 2010 GE (Kruppenbacher) to (EPA) Garbarini: Notification of Backup Waste Management Facility Selection Wayne Disposal
- 288. June 25, 2010 GE (Kruppenbacher) to EPA (Garbarini): Notification of Backup Waste Management Facility Selection US Ecology Landfill
- 289. June 25, 2010 GE (Kruppenbacher) to EPA (Garbarini): Notification of Backup Waste Management Facility Selection Clean Harbors Grassy Mountain
- 290. June 25, 2010 GE (Kruppenbacher to EPA (King): Inspection, Sampling and Release Procedure for Gondolas Used for Shipments to WCS attachment
- 291. June 25, 2010 GE (Kruppenbacher) to EPA (King): Revised Wild Celery Planting Unit
- 292. June 28, 2010 GE (Haggard) to Garon (SRA): Results of Additional Modeling of Upper and Lower Hudson River, including attached Technical Memorandum "Proposed Allowable Downstream PCB Load for the Hudson River Dredging Project" and report "The Upper Hudson River Modeling System"
- 293. June 29, 2010 EPA (Garbarini) to AQ (Benaman) re: Disclosure of Model Code to EPA Consultants
- 294. June 30, 2010 AQ (Benaman) to EPA (Garbarini) re: consent to disclosure.
- 295. June 30, 2010 GE (Klee) to EPA (Enck) correspondence re: GE's Modeling Results for Upper and Lower Hudson River

- 296. July 1, 2010 EPA (King) to GE (Kruppenbacher) correspondence re: Contract 5 Habitat Reconstruction Requested Combined Responses (responding to GE June 25, 2010 correspondence).
- 297. July 1, 2010 GE presentation, "Update on EPA-GE Technical Exchange"
- 298. July 7, 2010 GE (Klee) to EPA (Stanislaus) correspondence re: GE's Modeling Results for Upper and Lower Hudson River
- 299. July 8, 2010 EPA (Fischer) to GE (Moreno): Peer Review Schedule email confirmation
- July 9, 2010 GE Kruppenbacher to King: Response to EPA's July 1, 2010
   Letter with attachments Regarding Wild Celery Planting Units
- 301. July 14, 2010 Kruppenbacher to Garbarini: Transmittal of RAWP #3 Appendix C Transportation and Disposal Plan (Rev. 3) attached
- 302. July 16, 2010 AQ (Benaman) to EPA & EPA Consultants email: Upper Hudson River Model Source Code Transfer. Attached source code is in Confidential File of administrative record.
- 303. July 16, 2010 Feldt (EPA) to GE (Klee) correspondence re: Interim response to July 7, 2010 letter from GE.
- 304. July 18, 2010 AQ (Israelsson) to EPA and EPA consultants transmitting Users Manual for AQ Upper Hudson River model. Manual is claimed CBI and is contained in Confidential File of administrative record.
- July 19, 2010 AQ to EPA (Garbarini) email AQ's Presentations used at Ann Arbor meeting: Upper Hudson River PCB Modeling System Overview; PCB Fate and Transport Model; UHR Modeling System – Simulating Dredging and Future Scenarios; Bioaccumulation Model and Hydrodynamic and Sediment Transport Models
- 306. July 20, 2010 GE (Klee) to EPA (Feldt) correspondence re: GE's Modeling Results for Upper and Lower Hudson River
- 307. July 20, 2010 Kruppenbacher to King Rail Car Procedures attachment
- 308. July 20, 2010 GE (Haggard) to EPA (Pavlou and Mugdan) re: Hudson 2011 Scope of Work (including attached Draft Proposed Scope of Work for 2011 Dredging and Table 1)
- 309. July 27, 2010 GE (Kruppenbacher to EPA (King) re: Revised American Pondweed Planting Unit Source, including enclosures
- 310. July 29, 2010 AQ to EPA (Garbarini) re: letter and hard drive containing long-term calibration and validation files for the Upper Hudson River. Information related to computer code subject to CBI claim and contained in Confidential File of administrative record.

- 311. July 29, 2010 EPA (Stanislaus) to GE (Klee): EPA declines to forward GE's Model to Peer Review Panel
- 312. August 2, 2010 EPA (King) to GE (Kruppenbacher): Empty Gondola Rail Car Inspection, Sampling and Release Procedure June 25, 2010
- 313. August 5 GE presentation slides re: "MPA and Dredge Delineation"
- 314. August 9, 2010 GE (Haggard) to EPA (Garbarini) MPA and Dredge Delineation presentation used at 8/5/10 meeting
- 315. August 9, 2010 GE (Gibson) to EPA (Conetta) Correction Factor Response Letter
- 316. August 10, 2010 AQ to Garbarini Hard drives containing scenario files for the UHR Modeling System. Information related to computer code subject to CBI claim is contained in Confidential File of administrative record.
- 317. August 11, 2010 GE (Klee) to EPA (Stanislaus) correspondence re: GE's Modeling Results for Upper and Lower Hudson River
- 318. August 13, 2010 Draft Hudson River Peer Review Report
- 319. August 13, 2010 EPA (Garbarini) to GE (Haggard) correspondence re: Phase 2 Final Design Partial/Interim Submittals (including attachments)
- 320. August 13, 2010 EPA (Garbarini) to GE (Haggard) correspondence re: Phase 1 Cap/Habitat OM&M Plan, including attachment
- August 16, 2010 GE (Kruppenbacher) to EPA (King) correspondence re: Plant Sources for Fall Re-Planting (including enclosure)
- 322. August 20, 2010 Haggard to Garbarini Plan for Additional Sediment Sampling Draft for Discussion Work plan attached
- 323. August 27, 2010 EPA Response to Draft Hudson River EPS Peer Review Report
- 324. August 27, 2010 GE presentation slides ("Load Standard Depends on Baseline Load (MNA)," etc.)
- 325. August 27, 2010 GE (Haggard) to Peer Review Panel c/o SRA International, Inc. re: General Electric's Comments on Draft Hudson River Peer Review Report
- 326. August 27, 2010 NYSDEC (Farrar) to EPA (Garbarini, et al.) email re: Matrix of factual corrections on HR peer review report (including attachment)

- 327. August 30, 2010 correspondence from EPA (King) to GE (Kruppenbacher) re: Hudson River PCB Superfund Site, Phase 1, Contract 5 Habitat Reconstruction Proposed Alternative Plant Sources
- 328. August 30, 2010 NYSDEC (Farrar) to EPA (Klawinski and King) email re: comments on GE coring work plan 8.30.10 (including attached comments)
- 329. August 31, 2010 GE (Gibson) to EPA (Conetta, et al.) email re: follow up from August 5 meeting
- 330. August 31, 2010 EPA (Klawinski) to GE (Gibson) email re: EPA draft comments (for discussion purposes) on sediment sampling work plan
- 331. EPA Spring 2010 Data Summary Report, Upper Hudson River Floodplain Deposition Sampling Program (September 2010)
- 332. September 1, 2010 GE (Gibson) to EPA (Klawinski) email re: EPA draft comments (for discussion purposes) on sediment sampling work plan, including attached probability plot.
- 333. September 1, 2010 GE (Gibson) to EPA (Klawinski) email re: EPA draft comments (for discussion purposes) on sediment sampling work plan, forwarding two attachments "related to our discussion from last Thursday and your comments on the work plan."
- 334. September 3, 2010 GE (Haggard) to EPA (Garbarini) correspondence re: Response to Comments on Phase 1 Cap/Habitat OM&M Plan
- 335. September 3, 2010 GE (Haggard) to EPA (Garbarini) correspondence re: Model Review Status Meeting
- 336. September 3, 2010 EPA (Klawinski) to GE (Gibson) email re: EPA comments on 2010 sediment sampling work plan (including attached comments)
- 337. September 3, 2010 GE (Haggard) to EPA (Garbarini) correspondence re: Modeling Peer Review
- 338. September 7, 2010 GE (Gibson) to EPA (Klawinski) email forwarding GE response to EPA comments on 2010 sediment sampling work plan
- 339. September 7, 2010 GE (Kruppenbacher) to EPA (King) correspondence re: Force Majeure Regarding the Fall 2010 Planting of American Pondweed.
- 340. September 9, 2010 GE (Klee) to EPA (Jackson) correspondence re: GE Proposal for 2011 Dredging
- 341. September 9, 2010 EPA (King) to GE (Gibson) correspondence (including attached comments) responding to GE's August 20, 2010 correspondence

- 342. September 10, 2010 GE (Gibson) to EPA (Garbarini) correspondence re: Plan for Additional Sediment Sampling, including attached plan. Need copy of attachment
- 343. September 10, 2010. Bridges, T., R. Fox, P. Fuglevand, G. Hartman, V. Magar, P. Schroeder, T. Thompson, and SRA International, Inc. 2010. Hudson River PCBs Site, Peer Review of Phase 1 Dredging, Final Report.
- 344. September 2010 Peer Review Panel table "Changes Made in Final Hudson River Phase 1 Dredging Peer Review Report"
- 345. September 12, 2010 EPA email to GE re: August 5 Follow Up, including attachments (August 5, 2010 correspondence re: August 5 meeting follow-up, and attached Analysis of Uncertainty)
- 346. September 13, 2010 GE (Blaha) to EPA (Garbarini) correspondence re: Response to EPA's Comments on the Phase 2 Design (including attachments)
- 347. September 15, 2010 NYSDEC (Gruskin) to EPA (Jackson) Correspondence re: Hudson River dredging
- 348. September 17, 2010 GE (Haggard) to Mugdan (EPA) email (including attachment) transmitting slide "Model Results: Impact of Changing % Resuspension."
- 349. September 23, 2010 EPA email to GE (including attachment) forwarding matrix with EPA responses to Peer Review Panel recommendations.
- 350. September 23, 2010 GE (Gibson) to EPA (Conetta) correspondence re: August 5 Meeting Follow Up
- 351. September 23, 2010 EPA (King) to Kruppenbacher (GE) correspondence re: Hudson River PCB Superfund Site, Phase 1, Contract 5 Habitat Reconstruction Response to Proposed Fall 2010 Replanting letter dated 9/7/2010
- 352. September 24, 2010 NYSDEC (Farrar) to EPA (King) correspondence re: Phase 1 Peer Review Report
- 353. September 24, 2010 GE (Kruppenbacher) to EPA (King) re: Notification under 40 C.F.R. 761.65(a)(2) re: GE unable to complete off-site shipment of sediment and debris within one year
- 354. September 24, 2010 NYSDEC (Farrar) to EPA (Garbarini) email re: Fwd: comments on GE 8.5.10 MPA presentation (including forwarded August 13, 2010 email message and attached comments)
- 355. September 27, 2010 LimnoTech presentation "Model Evaluation Status"

- 356. September 30, 2010 GE (Kruppenbacher) to EPA (King) correspondence re: NYSCC Feeder Canal as source for Wild Celery plants (including enclosure)
- 357. October 5, 2010 EPA (Klawinski) to GE (Gibson) email re: Surface Sampling Approach
- 358. October 6, 2010 EPA (King) to GE (Haggard, et al.) email re: Draft Statement of Work for 2011 For discussion purposes (including attachment)
- 359. October 8, 2010 GE Phase 2 Final Design Division 1 Specifications
- 360. October 8, 2010 correspondence from GE (Immelt) to EPA (Jackson)
- 361. October 8, 2010 correspondence from Hudson River Sloop Clearwater, et al. to EPA (Jackson)
- 362. October 12, 2010 EPA (Conetta) to GE (Gibson) correspondence re: August 5 meeting follow-up, including attachments.
- 363. October 13, 2010 EPA (Conetta) to GE (Gibson) correspondence recorrection factor
- 364. October 13, 2010 correspondence from Hudson River Sloop Clearwater, et al. to EPA (Enck)
- 365. October 15, 2010 EPA PowerPoint Presentation to GE, "Proposed Approach to Phase 2"
- 366. October 15, 2010 NYSDEC (Farrar) to EPA (Conetta, et al.) email re: Table of recommended changes for Phase 2 10.15.10 (including attachment)
- 367. October 15, 2010 EPA work plan re: resuspension and redeposition sampling and analysis
- 368. October 18, 2010 EPA Quality Assurance Project Plan
- 369. October 19, 2010 GE Summary of Key Policy Issues for EPA/GE Discussions
- 370. October 19, 2010 EPA (Garbarini) to GE (Haggard) email re: Surface Sampling Approach
- 371. October 22, 2010 GE slide "Clear Performance Standards Meet ROD Goals"
- 372. October 22, 2010 GE correspondence to EPA re: EPA Surface Sediment Sampling Proposal

- 373. October 27, 2010 EPA email to GE re: Draft Revised CDE, including attachment
- 374. October 28, 2010 EPA email to GE re: CD Attachments D and E, including attachments
- 375. October 28, 2010 EPA email to GE re: coring program status
- 376. October 28, 2010 EPA PowerPoint Presentation to GE, "EPA Reaction to GE's Proposed Approach to Phase 2"
- 377. October 29, 2010 EPA email to GE re: "Re: CD Attachments D and E," including attachment
- 378. November 1, 2010 GE (Gibson) to EPA (Conetta) email re: this week coring (includes exchange of November 1, 2010 emails between EPA and GE)
- 379. November 3, 2010 EPA email to GE re: Draft Attachment B (RAM Scope), including attachment
- November 3, 2010 EPA email to GE re: "draft RLSO of Attach F, and EPS," including attachments
- 381. November 4, 2010 EPA PowerPoint Presentation to GE re: capping
- 382. November 5, 2010 GE presentation "Upper Hudson River Model"
- 383. Benaman, J. Connolly, J. and A. Clough, 2010. *Understanding Remedial Design Effectiveness and Uncertainty By Analyzing Design Data Quality*. Society of Environmental Toxicology and Chemistry. North America 31st Annual Meeting: Bridging Science with Communities Oregon Convention Center, Portland, Oregon. 7–11 November 2010.
- 384. Greenberg, Conetta, Field and Kern, 2010 PCBs in Fish Tissues at the Hudson River PCBs Superfund Site: Results of the Baseline, Remedial Action, and Post-Phase 1 Remediation Timeframe. Society of Environmental Toxicology and Chemistry. North America 31st Annual Meeting: Bridging Science with Communities Oregon Convention Center, Portland, Oregon. 7–11 November 2010.
- November 10, 2010 GE (Blaha) to EPA (Garbarini) correspondence re: Phase 2 Final Design Division 1 Specifications (including attachment)
- 386. November 12, 2010 EPA email to GE re: draft SOW and PSCP attachment, including attachments
- 387. November 15, 2010 NYSDEC (Farrar) to Louis Berger Group (Gbondo-Tugbawa) email re: Groundwater Study in the 1990s Capping Design (including attachments)

- 388. November 16, 2010 GE email to EPA re: GE draft RLSO of Attachment D CHASP Scope, including attachments
- 389. November 16, 2010 GE email to EPA re: GE draft RLSO of Attachment F CU Acceptance Forms, including attachments
- 390. November 16, 2010 GE email to EPA re: GE draft RLSO of Attachment E OM&M Scope, including attachments
- 391. November 16, 2010 GE email to EPA re: GE draft RLSO of Attachment E OM&M Scope (cover letter attached)
- 392. November 17, 2010 GE email to EPA re: CDE Mark Up, including attachments
- 393. November 17, 2010 GE email to EPA (including attachments) providing GE transmittal letter and comments re: EPA draft Phase 2 Engineering Performance Standards (EPS)
- 394. November 18, 2010 GE email to EPA re: Phase 2 RAM Scope comments, including attachments
- November 19, 2010 GE (Kruppenbacher) to EPA (King) re: Response to EPA Fall 2010 Replanting Letter dated September 23, 2010
- 396. November 22, 2010 GE email to EPA (including attachments) transmitting GE comments and transmittal letters for the SOW, PSCP, and tables/figures for the EPS.
- 397. November 22, 2010 GE Capping Performance Assessment slides
- 398. November 22, 2010 correspondence from Hudson River Sloop Clearwater, et al. to EPA
- 399. November 22, 2010 correspondence from Hudson River Sloop Clearwater, et al. to EPA (Jackson)
- 400. November 23, 2010 correspondence from EPA (King) to NY State Canal Corp. (Harris) re: Hudson River PCB Superfund Site Harvests of Plant Stock from NY State Canal Corporation Lands Work Permit No. C2W100029
- 401. November 24, 2010 Louis Berger Group (Garvey) to EPA (Conetta) email re: Load Standard
- 402. November 25, 2008 correspondence from EPA (Garbarini) to GE (Haggard) re: conditional approval of November 11, 2008 GE Habitat Delineation Report

- 403. November 29, 2010 EPA email (Mugdan) to GE (Klee and Haggard) re: Resuspension and Load Standard, and attachment re: "Summary of Phase 2 Resuspension Performance Standard."
- 404. November 30, 2010 EPA email to GE re: Dredge Elevation Verification Text, including attachment
- 405. Spreadsheet showing Bedrock and Clay Area Capped for Phase 1, Summation of Areas, and Summation of Areas (Excluding "Conflict" Areas in Clay Table Above)
- 406. Table EPA Responses to GE's Letter dated November 16, 2010 on the Phase 2 OM&M Scope Attachment E to the Consent Decree SOW
- 407. December 1, 2010 correspondence from Hudson River Sloop Clearwater, et al. to EPA (Sussman)
- 408. December 2, 2010 NYSDEC (Gruskin) correspondence to EPA (Robert Sussman) re: Hudson River dredging
- 409. December 2, 2010 correspondence from National Oceanic and Atmospheric Administration to EPA (Robert Sussman) re: Phase 2 Remediation
- 410. December 3, 2010 correspondence from GE (Klee) to EPA (Jackson) re: November 22, 2010 letter from NRDC, Hudson River Sloop Clearwater, Hudson Riverkeeper and Scenic Hudson.
- 411. December 6, 2010 GE (Haggard) to EPA (King, et al.) re: GE Capping Proposal (including attached proposal)
- 412. December 10, 2010 correspondence from Hudson River Sloop Clearwater, et al. to EPA (Sussman)
- 413. December 11, 2010 EPA (Mugdan) to GE (Klee and Haggard) email re: Chart for Discussion, including attachment.
- 414. GE December 12 presentation slides, "Load Limit at Thompson Island, Expectation Based on Modeling" and "Capping Evaluation and Control Limits"
- 415. December 13, 2010 EPA (King) to GE (Kruppenbacher) correspondence re: Phase 1 SAV Harvested and Other Plant Materials: Follow up on Request for Information
- 416. December 13, 2010 Memorandum from J. Wolfe (LimnoTech) to B. Fidler (Louis Berger Group, Inc.) re: *Status of Upper Hudson River Model Evaluation*

- 417. December 13, 2010 Memorandum from E. Garvey, J. Atmadja and E. Zamek (Louis Berger Group, Inc.), and J. Kern (Kern Statistical Services, Inc.) to B. Conetta re: *Mass per Unit Area Footprint Delineation*
- 418. December 15 EPA (King) to GE (Kruppenbacher) correspondence re: Phase 2 Wetlands Delineation data
- 419. December 15, 2010 EPA Memorandum to Files re: *Initial EPA Review of GE Technical Submission Based on New GE Model*, including Appendices
- 420. December 16, 2010 Memorandum from E. Garvey, J. Atmadja, C. Prabhu, X. Wang (Louis Berger Group, Inc.), J. Kern (Kern Statistical Services, Inc.), and D. Hayes (University of Louisiana at Lafayette) to B. Conetta (EPA) re: *Issues in Estimation of Depth of Contamination and Application to Dredge Prism*
- 421. December 16, 2010 Memorandum from E. Garvey, J. Atmadja (Louis Berger Group, Inc.) and J. Kern (Kern Statistical Services, Inc.) to EPA (B. Conetta) re: *Support for the Capping Performance Criteria*
- 422. December 16, 2010 Memorandum from S. Gbondo-Tugbawa (Louis Berger Group), X. Wang (Louis Berger Group), E. Zamek (Louis Berger Group) to B. Conetta (EPA) re: Review of Selected Data Targets for General Electric Upper Hudson River Modeling System
- 423. December 17, 2010 EPA Decision Regarding Changes to the Phase 1
  Engineering Performance Standards, the Phase 1 Quality of Life
  Performance Standards, the Statement of Work, and the Scope of Phase 2
  Pursuant to Paragraph 15.b of Consent Decree. Includes transmittal letter
  from EPA (Mugdan) to GE (Klee) and attachments
- 424. All GE Phase 1 Corrective Action reports and memoranda for Phase 1
- 425. All Site-related data, including fish, sediment, water column data, from before, during, and after Phase 1
- 426. All GE weekly productivity reports submitted for Phase 1
- 427. All GE residuals sampling weekly progress reports for Phase 1

## CONFIDENTIAL FILE

- 1. Attachment and hard drive transmitted by June 8, 2010 AQ to Garbarini: Upper Hudson River Model Transfer. Information related to computer code subject to CBI claim.
- 2. Source code transmitted by July 16, 2010 AQ (Benaman) to EPA & EPA Consultants email re: Upper Hudson River Model Source Code Transfer. Information related to computer code subject to CBI claim.
- 3. Users Manual for AQ Upper Hudson River model (attachment to July 18, 2010 email from AQ (Israelsson) to EPA and EPA consultants). Users manual subject to CBI claim.
- 4. Long-term calibration and validation files transmitted by July 29, 2010 AQ to EPA (Garbarini) letter. Information related to computer code subject to CBI claim.
- 5. July 30, 2010 AQ to EPA Contractors: Addition AQ IDL Routines transmittal instructions. Information related to computer code subject to CBI claim.
- 6. Computer code transmitted by August 10, 2010 AQ to Garbarini Hard drives containing scenario files for the UHR Modeling System. Information related to computer code subject to CBI claim.